

Dimension theory of representations of real (and complex) numbers

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b -adic representation

- Consider the b -adic representation of a real numbers $x \in [0, 1]$:

$$x = \sum_{i=1}^{\infty} d_i(x) b^{-i}, \quad d_i(x) \in \{0, 1, \dots, b-1\}.$$

- Choosing digits from $A \subseteq \{0, \dots, b-1\}$ we define

$$\mathcal{D}_{b\text{-adic}}[A] := \{x \in [0, 1] \mid d_i(x) \in A\}.$$

- If $2 \geq |A| < b$ the set $\mathcal{D}_{b\text{-adic}}[A]$ is uncountable and compact but of length zero and totally disconnected.

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Hausdorff dimension

- The d -dimension Hausdorff measure of $B \subseteq \mathbb{R}^n$ is

$$\mathfrak{H}^d(B) = \lim_{\epsilon \rightarrow 0} \inf \left\{ \sum_{i=1}^{\infty} \text{diam}(C_i)^d \mid B \subseteq \bigcup_{i=1}^{\infty} C_i, \text{diam}(C_i) < \epsilon \right\}.$$

- This is a natural generalization of the n -dimensional Lebesgue measure to non-integer dimensions, $\mathfrak{L}^n = c_n \mathfrak{H}^n$.
- The Hausdorff dimension is given by

$$\dim B = \inf \{d \geq 0 \mid \mathfrak{H}^d(B) = 0\} = \sup \{d \geq 0 \mid \mathfrak{H}^d(B) = \infty\}$$

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Hausdorff (1919):

Theorem

$$\dim \mathcal{D}_{b\text{-adic}}[A] = \frac{\log |A|}{\log b}$$

- For the upper bound just cover the set by $|A|^n$ intervals of length b^n .
- For the lower bound define a probability measure by $\mu(I_{a_1 a_2 \dots a_n}) = |A|^{-n}$. We have

$$x \in \mathcal{D} : \mu(B_r(x)) \leq c r^{\log |A| / \log b}.$$

By the mass distribution principle $\mathfrak{H}^{\log |A| / \log b}(\mathcal{D}) \geq 1/c$.

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Prescribed frequencies in b -adic representation

- Let $\mathbf{p} = (p_j)$ be a probability vector on $\{0, \dots, b-1\}$. The entropy of \mathbf{p} is

$$H(\mathbf{p}) = - \sum_{j=0}^{b-1} p_j \log p_j.$$

- Consider the set of real numbers in $[0, 1]$ with given frequency of digits in the b -adic representation

$$\mathcal{F}_{b\text{-adic}}[\mathbf{p}] := \{x \mid \lim_{n \rightarrow \infty} \frac{|\{i = 1, \dots, n \mid d_i(x) = j\}|}{n} = p_j\}.$$

- $\mathcal{F}_{b\text{-adic}}[(1/b)]$ is the set of normal numbers to base b .
Borel (1909): Almost every number is normal.

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Prescribed frequencies in *b*-adic representation

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Besicovitch (1934) / Eggleston (1949):

Theorem

$$\dim \mathcal{F}_{b\text{-adic}}[\mathbf{p}] = \frac{H(\mathbf{p})}{\log b} \quad (=: \theta)$$

- Construct a measure $\mu(I_{d_1 d_2 \dots d_n}) = p_{d_1} p_{d_2} \dots p_{d_n}$.
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$$x \in \mathcal{F} : \lim_{n \rightarrow \infty} \frac{1}{n} \log \frac{\mu(I_{d_1 \dots d_n}(x))}{|I_{d_1 \dots d_n}(x)|^s} = -H(\mathbf{p}) + s \log(b)$$

- $s < \theta : \lim_{r \rightarrow \infty} \mu(B_r(x))/r^s = 0 \Rightarrow \mathfrak{H}^s(\mathcal{F}) = \infty$
- $s > \theta : \lim_{r \rightarrow \infty} \mu(B_r(x))/r^s = \infty \Rightarrow \mathfrak{H}^s(\mathcal{F}) = 0$

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A modification of the dyadic representation

- Represent a real number $x \in (0, 1]$ by a sequence in $\mathbb{N}^{\mathbb{N}}$:

$$x = \sum_{i=1}^{\infty} 2^{-(n_1(x) + \dots + n_i(x))}, \quad n_i(x) \in \mathbb{N}.$$

- $n_i(x)$ is the distance between two digits 1 in the dyadic expansion.
- For $A \subseteq \mathbb{N}$ consider the set of real numbers $\mathcal{D}_{\text{m.dyadic}}[A]$ with digits in A .
- $\mathcal{D}_{\text{m.dyadic}}[\{1, 2\}] = \{x | n_i(x) = 0 \Rightarrow n_{i+1}(x) = 1\}$ is called the golden Markov set.

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Theorem

The Hausdorff dimension d of $\mathcal{D}_{m.\text{dyadic}}[A]$ is d is given by

$$\sum_{i \in A} 2^{-id} = 1$$

- For $A = \{1, \dots, n\}$: $d = \log(s) / \log(2)$ where s is given by the solution $s \in (1, 2)$ of $s^n - s^{n-1} \dots - s - 1 = 0$.
- For the golden Markov set: $d = \log((\sqrt{5} + 1)/2) / \log 2$.
- For $A = \{nj | n \in \mathbb{N}\}$ we have $d = 1/j$.
- For $A = \{nj + m | n \in \mathbb{N}_0\}$ d is given by $2^{-dj} + 2^{-dm} = 1$.

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Consider the set of real numbers $\mathcal{F}_{m.\text{dyadic}}[\mathbf{p}]$ with frequency of digits given by a probability vector \mathbf{p} with expectation $E(\mathbf{p})$ and entropy $H(\mathbf{p})$

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$$\dim \mathcal{F}_{m.\text{dyadic}}[\mathbf{p}] = \frac{H(\mathbf{p})}{E(\mathbf{p}) \log 2}$$

- For the equidistribution

$$\dim \mathcal{F}_{m.\text{dyadic}}[(1/n, \dots, 1/n)] = \frac{2 \log(n)}{(n+1) \log(2)}$$

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- $\dim \mathcal{F}_{m.\text{dyadic}}[(1/2, 1/4, \dots, 1/2^n, \dots)] = 1$

Continued fraction representation

- Represent a real number $x \in (0, 1)$ by a continued fraction:

$$x = \frac{1}{n_1(x) + \frac{1}{n_2(x) + \dots}}, \quad n_{i(x)} \in \mathbb{N}$$

- Consider the set of numbers $\mathcal{D}_{\text{con.}}[A]$ with digits in A .

Jarnik (1929):

Theorem

$$1 - \frac{4}{n \log 2} \leq \dim_H \mathcal{D}_{\text{con.}}[\{1, \dots, n\}] \leq 1 - \frac{1}{8n \log n}$$

for $n > 8$.

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$$\dim_H\{x \in (0, 1) \mid (n_k(x)) \text{ is bounded}\} = 1$$

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Complex continued fractions

- For $z \in \mathbb{C}$ consider the Hurwitz continued fraction

$$z = c_0 + \frac{1}{c_1 + \frac{1}{c_2 + \dots}}, \quad c_j = a_j + b_j i \in \mathbb{Z}[i]$$

- The digits c_j are given by

$$z_{j+1} = 1/z_j - [1/z_j] = 1/z_j - c_j$$

with $c_0 = [z]$ and $z_0 = z - c_0$ where $[.]$ denotes rounding to the nearest element of $\mathbb{Z}[i]$.

- For $A \subseteq \mathbb{N}[i]$ consider the set of Hurwitz continued fractions $\mathcal{D}_{\text{complex}}[A]$ with digits in A .

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Estimating the modulus of the derivative of $Tz = 1/(z + a + bi)$ on the ball $B_{1/2}(1/2)$ one proves:

Theorem

$$d < \dim \mathcal{D}_{\text{complex}}[A] < D$$

$$\sum_{a+bi \in A} \left(\frac{1}{a^2 + b^2} \right)^D = 1$$

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- $0.21 < \dim \mathcal{D}_{\text{complex}}[\{3 + i, 2 + 4i\}] < 0.27$
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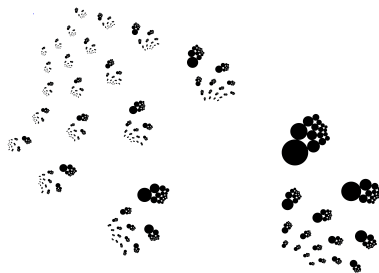
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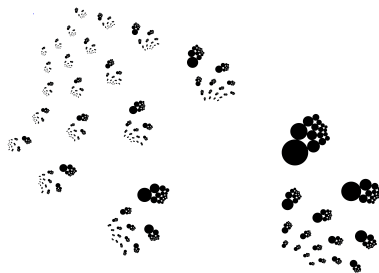
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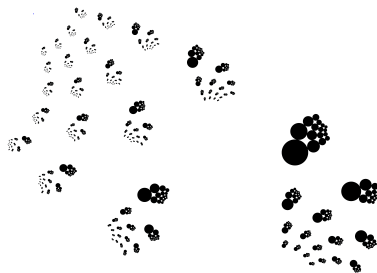
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Continued logarithm representation

- Consider the continued logarithm representation to base $m \geq 3$ of $x \in [0, 1]$:

$$x = \lim_{n \rightarrow \infty} \log_m(d_n(x) + \log_m(d_{n-1}(x) + \log_m(\cdots + \log_m(d_1(x))))$$

with digits in $\{1, \dots, m-1\}$.

- The representation is unique up to a countable set and in almost all numbers all digits appear.
- For $m \geq 4$ choosing digits from $A \subseteq \{1, \dots, m-1\}$ we define

$$\mathcal{D}_{\text{c.log}}[A] := \{x \in [0, 1] \mid d_i(x) \in A\}.$$

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Let $[(d_1, \dots, d_n)](x) = \log_m(d_n + \log_m(d_{n-1} + \dots + \log_m(d_1 + x)))$.

Theorem

$$L_n \leq \dim_H \mathcal{D}_{c.\log}[A] \leq U_n$$

for all $n \geq 1$, where U_n and O_n are given by

$$\sum_{d_1, \dots, d_n \in A} [(d_k)]'(1)^{U_n} = 1 \quad \sum_{d_1, \dots, d_n \in A} [(d_k)]'(0)^{L_n} = 1$$

For $m = 4$ using Mathematica we get

$$\dim_H \mathcal{D}_{c.\log}[\{1, 2\}] = 0.81 \pm 0.01$$

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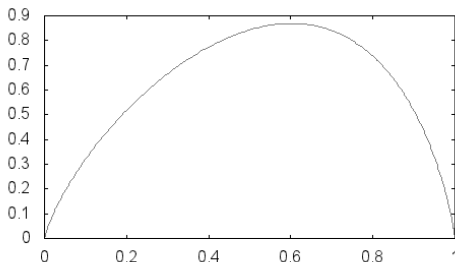
For an arbitrary continued logarithm expansion to base $m \geq 3$ we consider the set of real numbers $\mathcal{F}_{c.log}[\mathbf{p}]$ with frequency of digits given by a probability vector \mathbf{p} .

Theorem

$$\dim_H \mathcal{F}_{c.log}[\mathbf{p}] \leq c < 1$$

for all \mathbf{p} (!).

For $m = 3$ the upper bound look as follows



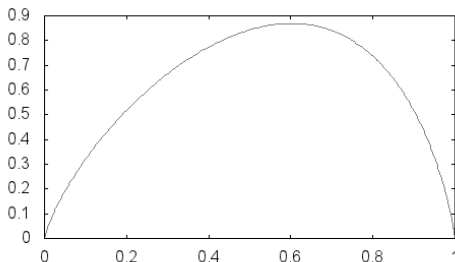
For an arbitrary continued logarithm expansion to base $m \geq 3$ we consider the set of real numbers $\mathcal{F}_{c.log}[\mathbf{p}]$ with frequency of digits given by a probability vector \mathbf{p} .

Theorem

$$\dim_H \mathcal{F}_{c.log}[\mathbf{p}] \leq c < 1$$

for all \mathbf{p} (!).

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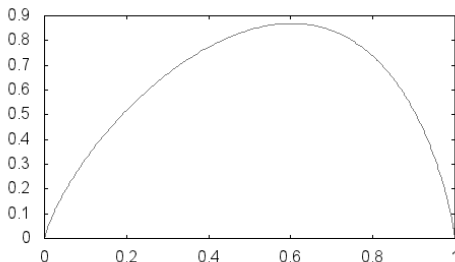
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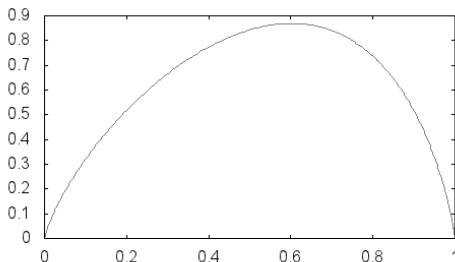
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b-adic representation
A modification of the dyadic representation
Continued fraction representation
Continued logarithm representation

Thanks for Your Attention

